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PATENT  
Attorney Docket No. WYE-076

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Aston *et al.* CONFIRMATION NO.: 8289  
SERIAL NO.: 10/706,791 GROUP NO.: 1634  
FILING DATE: November 12, 2003 EXAMINER: Lu, Frank Wei Min  
TITLE: Methods and Compositions for Treating Neurological Disorders

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the non-U.S. patent documents and non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) above but before the mailing date of any of a **final action** under 37 C.F.R. 1.113, a **notice of allowance** under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein; or

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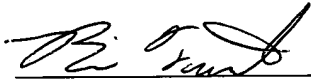
- ☐ (3) after the period defined in (2) above but before the payment of the issue fee, AND
- ☐ the requisite Statement is below, AND
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Respectfully submitted,

Date: September 15, 2006  
Reg. No. 48,6450

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SHEET 1 OF 1

<b>FORM PTO - 1449</b> <b>SUPPLEMENTAL</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				<b>ATTORNEY DOCKET NO.:</b> WYE-076 <b>APPLICANT(S):</b> Aston <i>et al.</i> <b>SERIAL NO.:</b> 10/706,791 <b>FILING DATE:</b> November 12, 2003 <b>GROUP NO.:</b> 1634					
<b>U.S. PATENT DOCUMENTS</b>									
<b>EXAM.</b> <b>INIT.</b>		<b>DOCUMENT</b> <b>NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB</b> <b>CLASS</b>	<b>FILING DATE IF</b> <b>APPROPRIATE</b>		
<b>FOREIGN PATENT DOCUMENTS</b>									
<b>EXAM.</b> <b>INIT.</b>		<b>DOCUMENT</b> <b>NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b> <b>CODE</b>	<b>CLASS</b>	<b>SUB</b> <b>CLASS</b>	<b>FILING</b> <b>DATE</b>	<b>ABSTRACT</b> <b>ONLY</b>	<b>ENGLISH</b> <b>LANG</b> <b>(Y/N)</b>
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
<b>EXAM.</b> <b>INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
	C1	Mackay <i>et al.</i> , "Neuroprotective Effects of Insulin-Like Growth Factor-Binding Protein Ligand Inhibitors <i>in Vitro</i> and <i>in Vivo</i> ," <i>J. Cereb. Blood Flow Metab.</i> , 23(10):1160-1167 (2003).							
	C2	Schreiber, "Small molecules: the missing link in central dogma," <i>Nature Chemical Biology</i> , 1(2):64-66 (2005).							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				